



G. K. CONSULTANTS LIMITED

PROJECT CONSULTANTS-CONCEPT TO COMMISSIONING
Web: gkconsultantsltd.com, CIN: L74140DL1988PLC034109
302, C. K. HOUSE, 187A, SANT NAGAR, EAST OF KAILASH,
NEW DELHI-110065. INDIA
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E-mail : ak_gkcl@yahoo.co.in

To,

Corporate Relationship Department,
BSE Limited,
14th Floor,
Phiroze Jeejeebhoy Towers,
Dalal Street, Fort,
Mumbai – 400 001

Ref: SEBI circular no. CIR/CFD/CMD1/27/2019 dated February 08, 2019

Sub: Annual Secretarial Compliance Report for the year ended March 31, 2021



Dear Sir/Madam,

Pursuant to the SEBI circular no. CIR/CFD/CMD1/27/2019 dated February 08, 2019 and Regulation 24A of the Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulation 2015, we are submitting the Annual Secretarial Compliance Report of the company for the year ended March 31, 2021, issued by Anshul Agarwal, Practicing Company Secretary and Secretarial Auditors of the Company.

We request you to take the above on record

Yours Faithfully

For G.K Consultants Limited



(Shilpa Verma)
Company Secretary
(M. No: FCS 10105)

Date: 30.06.2021

Enclosed: - Compliance report issued by the Practicing Company Secretary

Annual Secretarial Compliance Report of
G.K. Consultants Limited
For the Financial year ended March 31, 2021

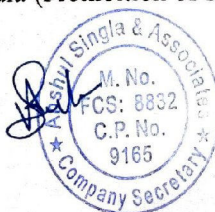
To
The Members,
G.K. Consultants Limited
302, G.K House, 187A, Sant Nagar
East of Kailash, New Delhi – 110065

I, Anshul Agarwal, Practicing Company Secretary, (FCS 8832, CP No. 9165) have examined:

- (a) all the documents and records made available to us and explanation provided by G.K. Consultants Limited (“the listed entity”),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended 31.03.2021 (“Review Period”) in respect of compliance with the provisions of:
 - i. the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
 - ii. the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;



and based on the above examination, I hereby report that, during the Review Period

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder as applicable to it, except in respect of matters specified below:

| Sr.No | Compliance Requirement Regulations, Circulars, guidelines including specific clause | Devi ation | Observation Remarks of the Practicing Company Secretary |
|-------|---|------------|---|
| Nil | | | |

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from my examination of those records.

- (c) Following are the actions taken against the Company/ its promoters/ directors either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder in so far as it appears from my examination of those records.

| Sr. No | Action Taken by | Details of violation | Details of action taken e.g. fines, warning letter, debarment, etc | Observation Remarks of the Practicing Company Secretary |
|--------|-------------------|--|--|---|
| 1. | BSE Limited [BSE] | 76 of SEBI (Depositories and Participants) Regulations, 2018 - | Levy of fine by BSE of Rs. 108560 (Rs. 92000 + GST @ 18%) | <p>The Said fine was levied due to misunderstanding occurred to BSE Limited, due to technical and typographical error in Reconciliation of Share Capital Audit for the quarter ended 31st December, 2020 submitted to BSE Limited, for which company has submitted the proper reply with explanation and necessary documents and same was accepted by BSE Limited and there was no fine levied on the Company. However, Company has applied for waiver of fine.</p> <p>Further, there is no non-compliance as to Regulation 76 of SEBI (Depositories and Participants) Regulations, 2018 is seen and company has duly complied with</p> |



| | | | | |
|----|-------------------|---|--|--|
| | | | | Regulation 76 of SEBI (Depositories and Participants) Regulations, 2018 throughout the year. |
| 2. | BSE Limited [BSE] | Regulation 33 of SEBI (LODR) Regulation, 2015 | Levy of fine by BSE of Rs. 11800 (Rs. 10000 + GST @ 18%) | The Company has paid the penalty on 03 rd November, 2020 to BSE Limited |
| 3 | BSE Limited [BSE] | Regulation 31 of SEBI (LODR) Regulation, 2015 | Levy of fine by BSE of Rs. 2360 (Rs. 2000 + GST @ 18%) | The Company has paid the penalty on 6 th day of October, 2020 to BSE Limited |
| 4 | BSE Limited [BSE] | Regulation 13(3) of SEBI (LODR) Regulation, 2015 | Levy of fine by BSE of Rs. 1180 (Rs. 1000 + GST @ 18%) | The Company has paid the penalty on 6 th day of October, 2020 to BSE Limited |
| 5. | BSE Limited [BSE] | Regulation 23 (9) of SEBI (LODR) Regulation, 2015 | Levy of fine by BSE of Rs. 2,12,400 (Rs. 1,80,000 + GST @ 18%) | The Company has paid the penalty on 02 nd Day of February, 2021 to BSE Limited |

(d) No observations were made in our previous report dated July 31, 2020 and hence reporting on action taken by the listed entity and our comments thereto, does not arise.

For Anshul Singla & Associates
Company Secretaries



ANSHUL AGARWAL
Proprietor
FCS 8832, CP No. 9165
ICSI'S UDIN: F008832C000550296

Place: New Delhi
Date: 30.06.2021